

ERISA Fidelity Bond



Surety One

Name of Plan Sponsor (Business Name): _____

Sponsor Address: _____

Policy Effective Date: _____

Sponsor Email _____ Sponsor Telephone #: _____

Do any of the plans contain non-qualifying assets? Yes No (If yes, not eligible for coverage under this policy. Please contact your underwriter.)

Do any of the plans contain employer securities? Yes No (if yes, please forward details of the plan assets to your underwriter.)

Coverage Limits & Premiums: (Includes Inflation Guard) *Please note that for this added protection a 10% surcharge will be applied to our low ERISA bond premiums at renewal.

Bond Limit	3-Yr. Prepaid Premium
\$10,000	\$161
\$20,000	\$164
\$30,000	\$187
\$40,000	\$207
\$50,000	\$230
\$60,000	\$247
\$70,000	\$267
\$80,000	\$281
\$90,000	\$295
\$100,000	\$307
\$110,000	\$312

Bond Limit	3-Yr. Prepaid Premium
\$120,000	\$321
\$130,000	\$326
\$140,000	\$332
\$150,000	\$338
\$160,000	\$344
\$170,000	\$352
\$180,000	\$358
\$190,000	\$364
\$200,000	\$369
\$210,000	\$375
\$220,000	\$381

Bond Limit	3-Yr. Prepaid Premium
\$230,000	\$386
\$240,000	\$392
\$250,000	\$398
\$275,000	\$415
\$300,000	\$429
\$325,000	\$443
\$350,000	\$458
\$375,000	\$475
\$400,000	\$486
\$425,000	\$500
\$450,000	\$517

Bond Limit	3-Yr. Prepaid Premium
\$475,000	\$532
\$500,000	\$546
\$550,000	\$577
\$600,000	\$606
\$650,000	\$634
\$700,000	\$666
\$750,000	\$694
\$800,000	\$720
\$850,000	\$751
\$900,000	\$780
\$950,000	\$808
\$1,000,000	\$839

Bond Limit Worksheet:

Total Assets of Plan A: _____ x .10 = _____ Bond Limit Plan A (not to exceed \$1,000,000)
 + Total Assets of Plan B: _____ x .10 = _____ Bond Limit Plan B (not to exceed \$1,000,000)
 + Total Assets of Plan C: _____ x .10 = _____ Bond Limit Plan C (not to exceed \$1,000,000)
 = Limit Required: _____ should equal the sum of the Bond Limits above (Plan A + Plan B + Plan C, etc)

Limit Requested: _____

Loss History, if applicable: _____

*Note: The ERISA Compliance Bond automatically insures all ERISA Plans of the Sponsor. The Employee Retirement Income Security Act of 1974 (ERISA) requires a Plan Fidelity Bond to equal 10% of the funds handled by a Trustee/Fiduciary, with a maximum Bond Limit of \$1,000,000 per Plan. The Limit of Liability for the bond should equal that sum of the required ERISA Bond amount for each Plan. The ERISA compliance bond contains a unique Inflation Guard feature. This feature automatically provides a bond amount, per Plan, equal to that required by ERISA, provided that the Sponsor/Plan purchases an amount at least equal to the required ERISA bond amount at the bond inception date. These rates are based on 5 or fewer Trustees, and no losses. Our underwriting criteria requires use of the ERISA Compliance Bond Form. Coverage is available for Non-Union Plans containing no Non-Qualified Assets, Designated Agents, or Additional Named Insured coverage. If applicant does not meet those criteria, please contact your underwriter.

Signature: _____ Date: _____

(Agent or Insured)