



POINDEXTER
Surety Services

Member of The Poindexter Group of Companies



P.O. Box 37284 • Raleigh, NC 27627
NC (919) 859-5294
VA (804) 780-9005
TX (713) 227-BAIL
(800) 373-2804
Fax (919) 834-7039

"Se habla Castellano"

ALERT REGARDING E.R.I.S.A. BOND REQUIREMENTS

Increase in the Maximum Amount of the Required Bond!

Effective for plan years beginning after December 31, 2007, there is a statutory increase in the maximum amount of the required bond from \$500,000 to \$1,000,000, for any ERISA plans that hold "employer securities". ERISA defines employer securities as "a security issued by an employer of employees covered by the plan, or by an affiliate of such employer."



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ERISA BOND APPLICATION

Poindexter & Associates, Inc. (SuretyOne)

FL Lic. D035892, CA Lic. 0E05447, NY Lic. PC-537477

Plan Name _____

Plan Sponsor _____

Nature of Sponsor's Business _____

Address _____

Total Plan Assets \$ _____

Bond Amount \$ _____

ERISA Regulations require a bond in the amount of ten percent (10%) of Plans.

Effective Date _____

Number of Trustees _____

Billing: Agency Billing Direct Billing (*after initial premium*)

Prior Carrier _____

Losses incurred in past three (3) years: None, or \$ _____

NOTICE: Any person who knowingly and with intent to defraud any insurance company or other person or entity, files an application for insurance or a statement of claim containing false information, or conceals for the purpose of misleading, information concerning any material fact, commits a fraud, punishable under criminal statutes and codes in certain jurisdictions. (State specific information and disclaimers attached.)

Completed by _____ Date _____

